

## Significant AML Fines in the Last 5 Months

**\$7m**

**Merchants Bank of California**

March 2017

fined by the Financial Crimes Enforcement Network for impeding the work of compliance staff and threatening to fire staff who wouldn't process suspicious transactions

**\$34m**

**The Tabcorp Gambling Company**

March 2017

fined by AUSTRAC for violating Australian AML laws on reporting suspicious transactions 108 times over five years

**\$0.9m**

**Coutts & Co**  
(AG's Hong Kong branch)

April 2017

fined by the Hong Kong Monetary Authority for failing to follow anti-money laundering rules between 2012 and 2015

**\$15m**

**U.S. Bank National Association**

April 2017

fined by the Office of the Comptroller of the Currency for bankruptcy filing violations

**\$97.4m**

**Citigroup**

May 2017

fined by the Department of Justice for breaches of AML rules in regard to money transfers between the US and Mexico

**\$2.5m**

**GBS Mutual Bank, The South African Bank of Athens, Habib Overseas Bank, Investec, Standard Chartered's Johannesburg branch**

May 2017

fined by the South African Reserve Bank for AML failings ranging from inadequate customer screening, to failing to report suspicious transactions, to inadequate financial skills training for employees

**\$350m**

**BNP Paribas**

May 2017

fined by the NYDFS for FOREX manipulation

**\$1.15m**

**Credit Suisse & United Overseas Bank Ltd**

May 2017

fined by the Monetary Authority of Singapore for violating AML laws in relation to the 1MDB scandal

**\$41m**

**Deutsche Bank**

May 2017

fined by the US Federal Reserve for inadequate AML controls

**\$11.3m**

**BNP Paribas**

June 2017

fined by the ACPR (France) for inadequate AML controls

**\$560m**

**TOTAL FINES**

